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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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PATENT APPLICATION

Applicant : Philip M. Ginsberg
Application No.: 09/225,537 Confirmation No.: 2363
Filed : January 4, 1999
CPA Filed July 31, 2000
For : FIXED INCOME PORTFOLIO INDEX PROCESSOR
Group Art Unit : 2164
Examiner : Dr. Geoffrey Akers

Hon. Commissioner
for Patents
Washington, D.C. 20231

New York, New York
February 22, 2001

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicant wishes to call the attention of the Examiner to
the following documents:

U.S. Patent Documents

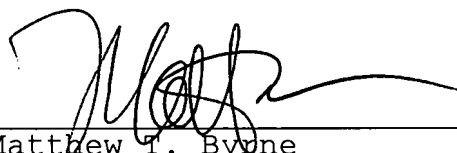
Kiron et al.	6,088,685	07/11/2000
Kiron et al.	5,806,048	09/08/1998

Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these references be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant also requests that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,



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